ALASKA CRIMINAL RECORDS AUDIT Reporting Compliance & Data Quality

Criminal Cases Referred for Prosecution in January 2012

What is the probability of finding complete, accurate information about a charge initiated during the audit period?

First Judicial District

...on a Fingerprint Card 37.0%

...in a Disposition Report 90.0%

prosecutor declination 100.0%

court judgment/order 90.0%

...in APSIN 68.0%

State of Alaska
Department of Public Safety, Division of Statewide Services
Criminal Records and Identification Bureau
June 30, 2013

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EXECUTIVE SUMMARY

Criminal Cases Referred for Prosecution January 2012 First Judicial District

Purpose

The purpose of the audit is to evaluate the effectiveness of procedures for compiling criminal history records from various sources. This audit attempts to answer this question:

What is the probability of finding complete, accurate information at the state's central repository about a charge initiated in this Judicial District during this audit period?

Methodology

Population: 328 Criminal Case Intake and Disposition forms

Sample: 77 Criminal Case Intake and Disposition forms

Based on the sample selection criteria, the auditor is 95% certain (confidence level) that the error rates found in the records sampled will be within 10% (precision factor) of the error rates for the entire population.

The auditor calculates the probability of finding complete, accurate information about a charge from these sources:

FINGERPRINT CARDS, required to be submitted to the state central repository for all criminal charges (AS 12.80.060)

DISPOSITION REPORTS, required from the prosecutor or court that disposed of a charge (AS 12.62.120);

APSIN (the Alaska Public Safety Information Network) is the computerized criminal history record system. Information about a charge in APSIN may be entered directly by the arresting agency. It may be updated by repository staff based on fingerprint cards and disposition reports, as well as other research. Increasingly, it may be entered automatically from an arrest, fingerprint or disposing agency's information system through an electronic interface.

Findings

To calculate the overall probability of finding complete, accurate information about a charge, the auditor determined, for each information source, the percentage of information that was (a) recorded, (b) not fictional, (c) complete, and (d) accurate. The auditor's computations are shown on the following page:

What is the probability that the charge is (a) RECORDED?

	<u>Actual</u>	(Required)	<u>Mi</u>	ssing	<u>(a) RE</u>	CORDED
Charges on Fingerprint Cards:	114	100.0%	67	58.8%	47	41.2%
Charges in Disposition Reports:	86	100.0%	5	5.8%	81	94.2%
Prosecutor (Declines)	0		0		0	100.0%
Court (Judgments/Orders)	86		5	5.8%	81	94.2%
None (confirmed active)	31		15	48.4%	16	51.6%
Charges in APSIN:	117	100.0%	17	14.5%	100	85.5%

EXECUTIVE SUMMARY

What is the probability that the recorded charge is (b) NOT FICTIONAL?

	<u>Actual</u>	(Required)	<u>Fiction</u>	<u>onal</u>	<u>(b) NOT</u>	FICTIONAL
Charges on Fingerprint Cards:	114	100.0%	0	0.0%	114	100.0%
Charges in Disposition Reports:	86	100.0%	0	0.0%	86	100.0%
Prosecutor (Declines)	0		0		0	100.0%
Court (Judgments/Orders)	86		0	0.0%	86	100.0%
None (confirmed active)	31		0	0.0%	31	100.0%
Charges in APSIN:	117	100.0%	0	0.0%	117	100.0%

If the charge is recorded, what is the probability it is (c) COMPLETE (not missing data)?

	<u>Actu</u>	ıal Data	Miss	ing Data	(c) C	<u>OMPLETE</u>
Data on Fingerprint Cards:	271	100.0%	8	3.0%	263	97.0%
Data in Disposition Reports:	827	100.0%	11	1.3%	816	98.7%
Prosecutor (Declines)	0		0		0	100.0%
Court (Judgments/Orders)	827		11	1.3%	816	98.7%
None (confirmed active)	0		0		0	n/a
Data in APSIN:	1332	100.0%	272	20.4%	1060	79.6%

If the data is complete, what is the probability that it is (d) ACCURATE?

	Actual Data		<u>Data</u>	<u>Errors</u>	(d) ACCURATE		
Data on Fingerprint Cards:	263	100.0%	18	6.8%	245	93.2%	
Data in Disposition Reports:	816	100.0%	28	3.4%	788	96.6%	
Prosecutor (Declines)	0		0		0	100.0%	
Court (Judgments/Orders)	816		28	3.4%	788	96.6%	
None (confirmed active)	0		0		0	n/a	
Data in APSIN:	1060	100.0%	6	0.0%	1054	99.4%	

^{*}A "fictional" charge is one that should not be reported to the repository or entered into APSIN; fictional charges are often caused by duplicate reporting or entry of charges, or reporting noncriminal violations as criminal charges.

Completeness scores (missing data) in APSIN include information that was reported to the repository but was incomplete and reports that were submitted to the repository but not entered in a timely manner.

Conclusion: The overall probability of finding complete, accurate information is calculated as follows: (a) % recorded X (b) % not fictional X (c) % data complete X (d) % data accurate

Charges on Fingerprint Cards:	(a)	41%	X (b)	100%	X (c)	97%	X (d)	93%	37%
Charges in Disposition Reports:	(a)	94%	X (b)	100%	X (c)	99%	X (d)	97%	90%
Prosecutor (Declines)	(a)	100%	X (b)	100%	X (c)	100%	X (d)	100%	100%
Court (Judgments/Orders)	(a)	94%	X (b)	100%	X (c)	99%	X (d)	97%	90%
Charges in APSIN:	(a)	85%	X (b)	100%	X (c)	80%	X (d)	99%	68%

The remainder of the report provides details about the audit methodology and procedures, identifies the specific charges that were found to be missing and fictional, and shows completeness and accuracy rates for specific data elements for each information source.

AUDIT PURPOSE AND SCOPE

Purpose: The purpose of this audit is to evaluate the quality of criminal history data submitted to the state central repository and maintained in APSIN.

Mandate: AS 12.62.120 requires criminal justice agencies to report certain events to the Department of Public Safety (DPS) at the time, in the manner, and in the form specified by the department. These reporting requirements are defined in 13 AAC 68, Article 2. AS 12.62.150 requires DPS to conduct periodic audits to ensure compliance with 12.62.

Objectives: The primary objective is to assess, with statistically supported accuracy, the completeness, timeliness and accuracy of data submitted to the repository and entered into APSIN. A second objective is to determine what, if any, systematic errors are contributing to incomplete, late, or inaccurate records. A third objective is to identify the effects of recent projects, automation, or other programmatic or policy changes that have improved record quality.

Scope: The audit sample is drawn from criminal cases referred for prosecution during January of 2012. This allows the auditor to measure data quality for "reportable" events, to compare changes from year to year, and complete the audit within available resources.

"Reportable Events" - An arrest charge must be reported to the repository even if it is declined for prosecution. A criminal charge that does not involve an arrest must be reported only if it is prosecuted. Noncriminal infractions and juvenile charges referred to a prosecutor do not have to be reported. By reviewing all cases referred for prosecution, the audit identifies not only the percentage of reportable events submitted to the repository, but also identifies any non-reportable events that were incorrectly submitted to the repository, or entered into APSIN. The events required to be reported to the repository, the contents of each report, and the timelines for reporting the information are defined in AS 12.62.120 and 13 AAC 68 Article 2, including:

Arrest/citation Fingerprinting Booking (photo)

Decision not to commence criminal proceedings (for charge resulting from arrest)

Filing of a charging document

Court disposition

Disposition change (court, appellate court, parole board)

In addition to measuring compliance with the reporting of events, the auditor measures the data quality of APSIN records based on events required to be reported. For example, if the audit sample contained 100 charges that were referred for prosecution the auditor would measure how many of those charges were correctly entered into APSIN. The auditor would also measure how many charges were incorrectly entered into APSIN (referred to as "fictional" or "duplicate" charges). For example, if any of the 100 charges involved a juvenile, a noncriminal infraction, or a charge that did not stem from arrest and was not prosecuted, the auditor confirms that those charges were correctly *omitted* from APSIN.

Jurisdiction — The audit consists of separate record samples and findings for the **First Judicial District**. The audit does not attempt to measure reporting compliance for individual agencies within a judicial district. Instead, it evaluates reporting compliance and APSIN record quality based on the integrated, system wide efforts of all agencies within the judicial district and repository staff.

AUDIT PURPOSE AND SCOPE

Audit Period — DPS has limited the scope of the audit to a one month period to match the level of audit resources available. To enable year-to-year comparison, prosecutor referrals for the same month (January) will be sampled each year. The auditor reviews reports related to these January referrals which are submitted to APSIN by a predetermined cut-off date (September 30). This cutoff date was selected to make audit findings comparable no matter what time of year an audit is completed. For example, if the auditor completes the review of January cases from the First Judicial District in August, then completes the review of January cases for the Second Judicial District in October, the findings are not comparable unless a fixed cutoff date is used.

Limiting the audit to this "snapshot" period means that certain events and records are less likely to be audited, however. For example, serious felony cases are less likely than misdemeanors to have reached final disposition within the 9-month snapshot period. Events such as changing of dispositions on appeal and granting of executive clemency are less likely to occur within the snapshot period. This means that the audit findings are more statistically significant for the initial events (reporting of arrests, fingerprinting, and prosecutor declinations). The audit findings are not necessarily statistically significant for subsequent events, such as court dispositions or disposition changes.

AUDIT PROCEDURES

STEP 1. IDENTIFY POPULATION

Judicial District: First Judicial District

Population: Criminal cases referred for prosecution/screening

Audit Period: January 2012

STEP 2. CALCULATE SAMPLE SIZE

po (CCID forms) referred to	:
ľ	oo (CCID forms) referred to

City Prosecutor - Juneau	<u>97</u>
City Prosecutor - Ketchikan	<u>7</u>
Department of Law - Juneau	<u>95</u>
Department of Law - Ketchikan	<u>103</u>
Department of Law - Sitka	<u>26</u>

TOTAL 328

Confidence Level: The desired degree of confidence that the sample selected will **95%**

be representative of the general population; i.e., that the

population has the same error rate as the sample.

Degree of Variability: How similar the population records are - this can be based on **50%**

error rates found in prior audits, or it can be most

conservatively estimated at 50% (e.g., there is a 50/50 chance

that a record will be error-free or totally erroneous)

Precision Factor: Enter the range (plus/minus) between the error rate to be

found in this audit sample and that in the population

Sample Size: Criminal Case Intake and Dispo (CCID forms)

TOTAL 77

10%

STEP 3. IDENTIFY REPORTING REQUIREMENTS/DATA SOURCE

See Reporting Requirements (Page 7 of this report) for the list of agencies providing data for this audit.

AUDIT PROCEDURES

STEP 4. LOCATE/COPY SOURCE DOCUMENTS

Arrest Report Obtain from arrest agency if needed to verify CCID of FP card data.

Fingerprint Card Obtain from repository files.

Criminal Case Intake Dispo Form Obtain from prosecutor.

Filing Document Obtain from court (Not applicable for this audit).

Court Disposition Obtain from repository files (image archive).

Dispo Change Obtain from repository files (image archive).

Booking Photo Check digital image archive (mugshot) if on file

APSIN Rap Sheet Obtain APSIN criminal history for each record.

APSIN Entry Data Log Obtain APSIN "dat-of-entry" logs for each record.

STEP 5. COLLECT/SCORE DATA

Ĭ	TEL 6. GOLLEGINGGONE BANA		
	Enter the SAMPLE SIZE (the number of RECORDS audited). RECORD means a single arrest/charging incident referred for prosecution/screening.	77	
	Enter the number of CHARGES (individual criminal offense, also referred to as a "count").	117	
	Enter the number of FICTIONAL CHARGES IN APSIN (a nonexistent charge, e.g., entries caused by a duplicate report of an actual charge or erroneous report showing a noncriminal violation as a criminal charge, etc.).	0	
	Enter the number of MISSING CHARGES IN APSIN (a charge that should have been reported/posted in APSIN as part of an arrest event/charging incident, but was omitted).	17	
	Enter the number of COLLATERAL OFFENSES (violation of a court or parole condition involving an audited RECORD).		
	Enter the number of DATA ELEMENTS (discrete field of information) for all CHARGES and COLLATERALS in all RECORDS.	2430	

REPORTING REQUIREMENTS

EVENT	AGENCY RESPONSIBLE	TIME LIMIT	13 AAC 68.160 WAIVER
	AGENOT RESPONSIBLE		
Arrest/Citation 13 AAC 68.115	Arrest agencies meet this requirement by entering arrest data directly into APSIN. Because other agencies, including the repository, may subsequently update/change that data, it is not possible to audit the timeliness or data quality of the arresting agency's original report.	_1 wk	NO
Fingerprint Card 13 AAC 68.120	Craig Police Department Ketchikan Correctional Center Lemon Creek Correctional Center Petersburg Police Department Sitka Police Department Wrangell Police Department Yakutat Police Department	 	NO NO NO NO NO NO
Decline Prosecution	not applicable - no declines were scored in the audit	1 mo	NO
Court Filing 13 AAC 68.135	not applicable - courts do not report charge filing at this time	_1 mo	N/A
Court Disposition 13 AAC 68.140	Superior Court - Ketchikan Superior Court - Sitka District Court - Juneau District Court - Ketchikan District Court - Petersburg District Court - Sitka District Court - Wrangell Magistrate Court - Craig Magistrate Court - Haines Magistrate Court - Skagway Magistrate Court - Yakutat	 	N/A N/A N/A N/A N/A N/A N/A N/A N/A
Disposition Change 13 AAC 68.140-145	not applicable - no disposition changes were reported in this audit	_1 mo	<u>N/A</u>
Booking Photo 13 AAC 68.150	(see above; same as fingerprint agencies)	_1 wk	NO
APSIN (RAP SHEET) AS 12.62.150	DPS - R&I (Records and ID Bureau)	N/A	<u>N/A</u>

Criminal Charge	Fingerprint Missing	Arrest/Cite	Charges Filed	Court Disposition	ID of Arr/Cit Agency	Court / Prosecutor	Comment
Larceny	V	✓	V	V	Juneau Police Department	Juneau District Court	No FPC
Sell Alcohol without License - Wet Area	✓	✓	✓	~	Juneau District Court	Juneau District Court	No FPC
Theft 2nd Degree	✓	~	✓	~	Juneau District Court	Juneau District Court	No FPC
Unsworn Falsification 1st Degree	✓	✓	V	V	Juneau District Court	Juneau District Court	No FPC
Unsworn Falsification 1st Degree	✓	✓	V	V	Juneau District Court	Juneau District Court	No FPC
Unsworn Falsification 1st Degree	V	✓	V	V	Juneau District Court	Juneau District Court	No FPC
Unsworn Falsification 1st Degree	V	✓	V	V	Juneau District Court	Juneau District Court	No FPC
Unsworn Falsification 1st Degree	V	✓	V	V	Juneau District Court	Juneau District Court	No FPC
Theft 2nd Degree	✓	✓	✓	✓	Juneau District Court	Juneau District Court	No FPC
Permitting Unauthorized Person to Drive	✓	V	V	V	Thorne Bay AST	Craig Magistrate Court	No FPC
Criminal Trespass	✓	✓	V	V	Juneau Police Department	Juneau District Court	No FPC
Violate Condition of Release	✓	✓	V	~	Craig Police Department	Craig Magistrate Court	Charge omitted from FPC
Violate Condition of Release	V	✓	V	V	Craig Police Department	Craig Magistrate Court	Charge omitted from FPC

Criminal Charge	Missing		Filed Arrest/Cite	Court Disposition Charges	ID of Arr/Cit Agency	Court / Prosecutor	Comment
Driving while License Canc/Susp/Revoked/Lim	<u> </u>	+ (V	<u> </u>	Craig Police Department	Craig Magistrate Court	Charge omitted from FPC
Disorderly Conduct	V	V	V	V	Petersburg Police Department	Petersburg District Court	No FPC
Retention of Lost Property	✓	~	V	✓	Juneau District Court	Juneau District Court	No FPC
Disorderly Conduct	✓	V	✓	~	Juneau Police Department	Juneau District Court	No FPC
Harassment	✓	V	V	~	Juneau Police Department	Juneau District Court	No FPC
Disorderly Conduct	✓	V	V	~	Juneau Police Department	Juneau District Court	No FPC
Interfere with Officer in Duties	✓	V	✓	~	Juneau Police Department	Juneau District Court	No FPC
Harassment	✓	V	✓	~	Juneau Police Department	Juneau District Court	No FPC
Fail to Register as Sex Offender 2nd Degree	✓	V	✓	~	Ketchikan AST	Ketchikan District Court	Charge omitted from FPC
Theft 2nd Degree	✓	V	✓	V	Sitka Police Department	Sitka District Court	Charge omitted from FPC
Violate Condition of Release	✓	V	V	~	Sitka Police Department	Sitka District Court	Charge omitted from FPC
Criminal Trespass	✓	>	V	~	Juneau Police Department	Juneau District Court	No FPC

Criminal Charge	Fingerprint Missing	Arrest/Cite	Charges Filed	Court Disposition	ID of Arr/Cit Agency	Court / Prosecutor	Comment	
Driving while License Canc/Susp/Revoked/Lim	V	V	V	V	Haines Police Department	Haines Magistrate Court	No FPC	
Theft 2nd Degree	✓	~	~	✓	Sitka Police Department	Sitka District Court	No FPC	
Violate Condition of Release	✓	V	~	✓	Sitka Police Department	Sitka District Court	No FPC	
Burglary 2nd Degree	✓	V	~	✓	Sitka Police Department	Sitka District Court	No FPC	
Larceny	✓	V	~	✓	Juneau Police Department	Juneau District Court	No FPC	
Interfere with Report of DV Crime	✓	V	~	~	Sitka Police Department	Sitka Superior Court	Charge omitted from FPC	
Promote Contraband 2nd Degree	✓	~	V	~	Juneau District Court	Juneau District Court	No FPC	
Larceny	✓	✓	✓	~	Juneau District Court	Juneau District Court	No FPC	
Driving Under the Influence	✓	✓	V	✓	Ketchikan AST	Ketchikan District Court	No FPC	
Theft 3rd Degree	✓	✓	V	V	Sitka Police Department	Sitka District Court	No FPC	
Misconduct Involving Controlled Substance 4th Degree	✓	~	✓		Ketchikan Police Department	Ketchikan District Court	No FPC	

Court Disposition Missing Charges Filed Fingerprint Arrest/Cite **Criminal Charge** ID of Arr/Cit Agency Court / Prosecutor Comment > ✓ Misconduct Involving Petersburg Police **Petersburg District** Charge omitted from FPC Controlled Substance 4th Department Court Degree **** > Charge omitted from FPC Forgery 2nd Degree Ketchikan Superior Ketchikan AST Court > < **>** Forgery 2nd Degree Ketchikan AST **Ketchikan Superior** Charge omitted from FPC Court > **>** ~ Ketchikan Superior Charge omitted from FPC Forgery 2nd Degree Ketchikan AST Court > > > Forgery 2nd Degree **Ketchikan Superior** Charge omitted from FPC Ketchikan AST Court > > > Forgery 2nd Degree Ketchikan AST Ketchikan Superior Charge omitted from FPC Court **~ > ~** Ketchikan Superior Forgery 2nd Degree Charge omitted from FPC Ketchikan AST Court > < **** Forgery 2nd Degree Ketchikan AST **Ketchikan Superior** Charge omitted from FPC Court **\ > ✓ >** No FPC Notice of Accident Juneau City Attorney Juneau District Court > > > Scheme to Defraud Ketchikan Superior Ketchikan Superior No FPC Court Court < > Ketchikan Superior Ketchikan Superior Medical Assistance Fraud No FPC Court Court

Fingerprint Missing Court Disposition Charges Filed Arrest/Cite ID of Arr/Cit Agency Court / Prosecutor **Criminal Charge** Comment **~ > V** Misconduct Involving Ketchikan Superior Ketchikan Superior No FPC Controlled Substance 4th Court Court Degree **\ ** Ketchikan Superior Misconduct Involving No FPC Ketchikan Superior Controlled Substance 2nd Court Court Degree **\ ~ ~** Misconduct Involving Ketchikan Superior Ketchikan Superior No FPC Controlled Substance 2nd Court Court Degree **> ~ ~** Misconduct Involving Ketchikan Superior Ketchikan Superior No FPC Controlled Substance 2nd Court Court Degree **~ V** Misconduct Involving Ketchikan Superior Ketchikan Superior No FPC Controlled Substance 2nd Court Court Degree **~ ~ ~** Misconduct Involving Ketchikan Superior No FPC Ketchikan Superior Controlled Substance 4th Court Court Degree **~ ~ V** Misconduct Involving Ketchikan Superior Ketchikan Superior No FPC Controlled Substance 4th Court Court Degree **~ ~ V** Misconduct Involving Ketchikan Superior Ketchikan Superior No FPC Controlled Substance 4th Court Court Degree

Court Disposition Missing Charges Filed Fingerprint Arrest/Cite **Criminal Charge** ID of Arr/Cit Agency Court / Prosecutor Comment > **V** ✓ Ketchikan Superior Misconduct Involving **Ketchikan Superior** No FPC Controlled Substance 4th Court Court Degree **\ >** Misconduct Involving Ketchikan Superior Ketchikan Superior No FPC Controlled Substance 4th Court Court Degree **\ ~ >** Misconduct Involving Ketchikan Superior **Ketchikan Superior** No FPC Controlled Substance 4th Court Court Degree **\ ~ ~** Tampering with Physical Ketchikan Superior **Ketchikan Superior** No FPC Evidence Court Court > **~** Tampering with a Witness **Ketchikan Superior Ketchikan Superior** No FPC Court Court 1st Degree **~ > ~ Disorderly Conduct** No FPC Skagway Magistrate Skagway Magistrate Court Court **~ >** > **>** Driving without Valid **Haines Police** Haines Magistrate Court No FPC **Operator License** Department **~ ~** > > No FPC Juneau Police Juneau District Court Larceny Department **\ > Y ** Improper Use of Ketchikan Police Ketchikan District Court No FPC Registration/Title/Plates Department **Y \ V ** Theft 2nd Degree Juneau District Court Juneau District Court Charge omitted from FPC > > **~** > Criminal Mischief Juneau Police Juneau District Court No FPC Department

Criminal Charge	Missing	Fingerprint	Arrest/Cite	Filed	arge	Court Disposition	ID of Arr/Cit Agency	Court / Prosecutor	Comment
Driving while License Canc/Susp/Revoked/Lim	V		~	V		V	Haines Police Department	Haines Magistrate Court	No FPC

FICTIONAL FINGERPRINT RECORDS

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MISSING REPORTS OF PROSECUTION DECLINATIONS

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MISSING REPORTS OF COURT DISPOSITIONS

Criminal Charge	Missing	Court	Dismissal	Conviction	Court	Comment
Unsworn Falsification 1st Degree	V		/		Juneau District Court	Court did not send the disposition to the repository
Permitting Unauthorized Person to Drive	✓			✓	Craig Magistrate Court	Court did not send the disposition to the repository
Assault 3rd Degree	~		✓		Craig Magistrate Court	Court did not send the disposition to the repository
Attempted Misconduct Involving Controlled Substance 4th Degree	✓			✓	Ketchikan District Court	Court did not send the disposition to the repository
Disorderly Conduct	~		V		Skagway Magistrate Court	Court did not send the disposition to the repository

MISSING CHARGES IN APSIN

Criminal Charge	Missing FP	Missing Decline	Missing Court Disposition	\ctiveCase	Explanation of Why Charge is Missing in APSIN
Unsworn Falsification 1st Degree	>		V		Arrest/citation but no FPC; Court disposition not sent to repository
Scheme to Defraud	V			V	No arrest/citation; active case;
Medical Assistance Fraud	✓			V	No arrest/citation; active case;
Misconduct Involving Controlled Substance 4th Degree	>			✓	No arrest/citation; active case;
Misconduct Involving Controlled Substance 2nd Degree	\			V	No arrest/citation; active case;
Misconduct Involving Controlled Substance 2nd Degree	\			V	No arrest/citation; active case;
Misconduct Involving Controlled Substance 2nd Degree	\			V	No arrest/citation; active case;
Misconduct Involving Controlled Substance 2nd Degree	\			>	No arrest/citation; active case;
Misconduct Involving Controlled Substance 4th Degree	\			V	No arrest/citation; active case;
Misconduct Involving Controlled Substance 4th Degree	\			V	No arrest/citation; active case;

MISSING CHARGES IN APSIN

Criminal Charge	Missing FP	Missing Decline	Missing Court Disposition	ActiveCase	Explanation of Why Charge is Missing in APSIN
Misconduct Involving Controlled Substance 4th Degree	~			V	No arrest/citation; active case;
Misconduct Involving Controlled Substance 4th Degree	✓			✓	No arrest/citation; active case;
Misconduct Involving Controlled Substance 4th Degree	~			✓	No arrest/citation; active case;
Misconduct Involving Controlled Substance 4th Degree	\			✓	No arrest/citation; active case;
Tampering with Physical Evidence	✓			✓	No arrest/citation; active case;
Tampering with a Witness 1st Degree	✓			✓	No arrest/citation; active case;
Disorderly Conduct	✓		✓		Arrest/citation but no FPC; Court disposition not sent to repository

FICTIONAL CHARGES IN APSIN

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DATA QUALITY

#= FOR REPORTS RECEIVED/ENTERED: number of times a data element was required

CMP= FOR REPORTS RECEIVED/ENTERED: percentage of times a required data element was reported/entered

ACC= FOR DATA PROVIDED, percentage that was accurate (missing data is not scored for accuracy)

		ARREST REPORT		FP CARD			PROSECUTOR DECLINE			COURT FILING			COURT DISPO			DISPO CHANGE			APSIN		
	#	CMP	ACC	#	CMP	ACC	#	CMP	ACC	#	CMP	ACC	#	CMP	ACC	#	CMP	ACC	#	CMP	ACC
Total Data Elements	0			271	97%	90%	0			0			827	99%	95%	0			1332	80%	79%
ATN#	0			47	83%	81%	0			0			81	99%	98%	0			100	89%	89%
Arrest Statute	0			47	100%	83%	0												100	89%	89%
Arrest Literal	0			47	100%	89%	0												100	89%	89%
ID of Arr/Cit Agency	0			47	100%	98%	0												100	89%	88%
Date Arr/Cit	0			47	100%	94%													100	89%	89%
Date of Offense																			92	72%	70%
ID of FP agency				36	100%	100%															
Decline Prosecution							0												0		
ID of decline agency							0												0		
Date declined							0												0		
APSIN ID number										0			81	99%	72%						
Ct Case #										0			81	100%	100%	0			81	64%	63%
Disposition Statute													81	91%	86%				43	72%	72%
Disposition Literal													81	98%	98%				43	72%	72%
ID of Ct Dispo Agcy													81	100%	100%				81	64%	64%
Date of Ct Dispo													81	100%	100%				81	64%	63%
Ct Dispo													81	100%	100%				81	64%	64%
POS ID Flag																			63	97%	97%
Fine Imposed													28	100%	100%	0			28	79%	79%
Fne Suspended													23	100%	100%	0			23	83%	83%
Jail Imposed													39	100%	100%	0			39	72%	72%
Jail Suspended													31	100%	100%	0			31	74%	74%
Commitment													0			0			0		
Probation													36	100%	97%	0			36	72%	69%
Defer Prosecution													0			0			0		
SIS (set aside)													0			0			0		
Alcohol Screen													5	100%	100%	0			5	20%	20%
Restitution													6	100%	100%	0			6	33%	33%
Lic Susp/Rev													7	100%	100%	0			7	71%	71%
Other (CWS)													1	100%	100%	0			1	100%	100%
Felony Flag - Dispo																			1	100%	100%
SOR Flag - Dispo													0			0			0		
DV Flag - Dispo													3	100%	100%	0			3	100%	100%

SCORING GUIDELINES

SCORING GUIDELINES	FP CARD	PROSECUTOR DECLINE	COURT			APSIN	Only information submitted to the repository was scored. Data is scored as (1) accurate or (0) inaccurate; Missing data is not scored (N) In APSIN, for judgments that were received but not entered timely, score as 0/0.
	#	#	#	#	#	#	
Required Data Element							
, .							if missing, completeness = NO (white box) and accuracy = NOT SCORED (gray box); if
Arrest Tracking Number							provided, completeness = YES (checked box) and, if different than ATN on CCID accuracy =
(ATN)	Х	х		х	х		NO (white box)
Charge Number							not scored at this time
3							if missing, completeness = NO (white box) and accuracy = NOT SCORED (gray box); if
							provided, completeness = YES (checked box) and, if different than CCID, accuracy = NO
Offense Code - Arr/Cit	x	х		х	Х	х	(white box); (unless obvious problem w/CCID then check arrest report/citation.
							if missing, completeness = NO (white box) and accuracy = NOT SCORED (gray box); if
							provided, completeness = YES (checked box) and, if different than CCID, accuracy = NO
ID of Arr/Cit Agency	x					х	(white box); (unless obvious problem w/CCID then check arrest report/citation.
5							if missing, completeness = NO (white box) and accuracy = NOT SCORED (gray box); if
							provided, completeness = YES (checked box) and if different than CCID accuracy = NO
							(white box); (unless obvious problem w/CCID then check arrest report/citation. APSIN
							requires a 'date of arrest' entry; if date of arrest is unknown the date of violation is entered
							and is scored as complete = YES (checked box); accurate = NO (white box) (systematic
Date Arr/Cit	x					х	error)
Date of Offense							not scored; regulations require date of offense on FPC, if known
							if FP agency = arrest agency score under 'id arrest agency'; if FP agency and arrest agency
							are different, id FP agency must be scored; ori agency is FP agency. If missing,
							completeness = NO (white box) and accuracy = NOT SCORED (gray box); if provided,
ID of FP agency	x						completeness = YES (checked box) and, if different that ori score 0 on accuracy
,							if missing on paper FPC completeness = NO (white box); accurate = NOT SCORED (gray
FP Signature	x						box)
							if missing, completeness = NO (white box) and accuracy = NOT SCORED (gray box); if
							provided, completeness = YES (checked box) and if different than CCID accuracy = NO
Decline Prosecution		Х				х	(white box)
							if missing, completeness = NO (white box) and accuracy = NOT SCORED (gray box); if
							provided, completeness = YES (checked box) and if different than CCID accuracy = NO
ID of decline agency		Х				Х	(white box)
							if missing, completeness = NO (white box) and accuracy = NOT SCORED (gray box); if
							provided, completeness = YES (checked box) and if different than CCID accuracy = NO
Date declined		X				Х	(white box)
Off Code - Filing							not scored at this time
ID of Ct - Chg Filed							not scored at this time
Date Chg Filed							not scored at this time
							if missing, completeness = NO (white box) and accuracy = NOT SCORED (gray box); if
							provided, completeness = YES (checked box) and if different than court information accuracy
Court Case #				Х		Х	= NO (white box)
Date CtOrd FP	Х						scored if court ordered fingerprints
ID of CtOrd FP	Х						scored if court ordered fingerprints
Court APSIN Id				Х			scored on judgment only if provided by prosecutor on charging document.

SCORING GUIDELINES

SCORING GUIDELINES	FP CARD	PROSECUTOR DECLINE	COURT			APSIN	Only information submitted to the repository was scored. Data is scored as (1) accurate or (0) inaccurate; Missing data is not scored (N) In APSIN, for judgments that were received but not entered timely, score as 0/0.
	#	#	#	#	#	#	·
Required Data Element							
Off Code - Dispo				x		x	For this data field, two data elements are scored: the literal description of the offense and the statute citation for that offense are both required. If one element is reported (on judgment or entered in APSIN) score "complete" as 1; if second element is missing or inaccurate, score "accuracy" as 0. If both elements are missing score completeness and accuracy as N/N.
Oil Code - Dispo				^		^	from court: if missing, completeness = NO (white box) and accuracy = NOT SCORED (gray
ID of Ct Dispo Agcy				х		х	box); if provided, completeness = YES (checked box) and if different than court information accuracy = NO (white box)
Date of Ct Dispo				х		х	from court: if missing, completeness = NO (white box) and accuracy = NOT SCORED (gray box); if provided, completeness = YES (checked box) and if different than court information accuracy = NO (white box)
Ct Dispo				х		х	from court: if missing, completeness = NO (white box) and accuracy = NOT SCORED (gray box); if provided, completeness = YES (checked box) and if different than court information accuracy = NO (white box)
POS ID (FP) Flag						v	score all charges in APSIN; systematic entry; if FP on file flag = yes; if no FP on file flag = NOT SCORED (gray box)o;
Fine				х		X	score only if on court documents; if missing in APSIN completeness = NO (white box) and accuracy = NOT SCORED (gray box); if provided, completeness = YES (checked box) and if different than court, accuracy = NO (white box)
Jail				x			score only if on court documents; if missing in APSIN completeness = NO (white box) and accuracy = NOT SCORED (gray box); if provided, completeness = YES (checked box) and if different than court, accuracy = NO (white box)
Probation				x		х	score only if on court documents; if missing in APSIN completeness = NO (white box) and accuracy = NOT SCORED (gray box); if provided, completeness = YES (checked box) and if different than court, accuracy = NO (white box)
SIS (set aside)				х		х	score only if on court documents; if missing in APIs completeness = NO (white box) and accuracy = NOT SCORED (gray box); if provided, completeness = YES (checked box) and if different than court, accuracy = NO (white box)
Alcohol Screening				x		x	score only if on court documents; if missing in APSIN completeness = NO (white box) and accuracy = NOT SCORED (gray box); if provided, completeness = YES (checked box) and if different than court, accuracy = NO (white box)
Restitution				x		х	score only if on court documents; if missing in APSIN completeness = NO (white box) and accuracy = NOT SCORED (gray box); if provided, completeness = YES (checked box) and if different than court, accuracy = NO (white box)
License Susp/Rev				x		х	score only if on court documents; if missing in APSIN completeness = NO (white box) and accuracy = NOT SCORED (gray box); if provided, completeness = YES (checked box) and if different than court, accuracy = NO (white box)
cws				х			score only if on court documents; if missing in APSIN completeness = NO (white box) and accuracy = NOT SCORED (gray box); if provided, completeness = YES (checked box) and if different than court, accuracy = NO (white box)
Falany/Mind Chahya							for disposed charges in APSIN; systematic entry; if blank or not set = NO (white box) for completeness and accuracy = NOT SCORED (gray box)OT SCORED (gray box); Level of severity is based on the UOCT. If the felony indicatory = Y in APSIN and UOCT has felony
Felony/Misd Status				Х		Х	determination accuracy = YES (checked box).

SCORING GUIDELINES

SCORING GUIDELINES	FP CARD	PROSECUTOR DECLINE	COURT FILING	COURT	DISPO CHANGE	APSIN	Only information submitted to the repository was scored. Data is scored as (1) accurate or (0) inaccurate; Missing data is not scored (N) In APSIN, for judgments that were received but not entered timely, score as 0/0.
	#	#	#	#	#	#	
Required Data Element							
							score only charges specified SOR by court; If missing in APSIN, completeness = NO (white
							box) and accuracy = NOT SCORED (gray box); if provided, completeness = YES (checked
Sex Ofndr Regis Flag				Х		Х	box) and if different than judgment, accuracy = NO (white box)
							score only charges specified DV by court; If missing in APSIN, completeness = NO (white
							box) and accuracy = NOT SCORED (gray box); if provided, completeness = YES (checked
DV Flag - Dispo				Х		Х	box) and if different than judgment, accuracy = NO (white box)
							from court: if missing, completeness = NO (white box) and accuracy = YES (checked box); if
							provided, completeness = YES (checked box) and if different than court information accuracy
Disposition Change					Х	Х	= NO (white box)
							from court: if missing, completeness = NO (white box) and accuracy = YES (checked box); if
							provided, completeness = YES (checked box) and if different than court information accuracy
ID of Dispo Chg Agcy					X	Х	= NO (white box)
							from court: if missing, completeness = NO (white box) and accuracy = YES (checked box); if
							provided, completeness = YES (checked box) and if different than court information accuracy
Date of Dispo Change					x	Х	= NO (white box)
							from court: if missing, completeness = NO (white box) and accuracy = YES (checked box); if
							provided, completeness = YES (checked box) and if different than court information accuracy
App.Case #					Х	х	= NO (white box)
Collateral Arrest Dt							
Collateral Offense Code							
Collateral Ct Case #							