ALASKA CRIMINAL RECORDS AUDIT
Reporting Compliance & Data Quality

Criminal Cases Referred for Prosecution
in January 2013

What is the probability of finding complete, accurate information about a charge initiated during the audit period?

Third Judicial District

...on a Fingerprint Card	**55.0%**
...in a Disposition Report	**84.0%**
prosecutor declination	*64.0%*
court judgment/order	*87.0%*
...in APSIN	**69.0%**

State of Alaska
Department of Public Safety, Division of Statewide Services
Criminal Records and Identification Bureau
June 2, 2014

CONTENTS

EXECUTIVE SUMMARY

Criminal Cases Referred for Prosecution
January 2013
Third Judicial District

Purpose

The purpose of the audit is to evaluate the effectiveness of procedures for compiling criminal history records from various sources.   This audit attempts to answer this question:
What is the probability of finding complete, accurate information at the state's central repository about a charge initiated in this Judicial District during this audit period?

Methodology

Population: 1,998   Criminal Case Intake and Disposition forms

Sample: 89   Criminal Case Intake and Disposition forms

Based on the sample selection criteria, the auditor is   95% certain (confidence level) that the error rates found in the records sampled will be within   10 % (precision factor) of the error rates for the entire population.

The auditor calculates the probability of finding complete, accurate information about a charge from these sources:

FINGERPRINT CARDS, required to be submitted to the state central repository for all criminal charges (AS 12.80.060)

DISPOSITION REPORTS, required from the prosecutor or court that disposed of a charge (AS 12.62.120);

APSIN (the Alaska Public Safety Information Network) is the computerized criminal history record system. Information about a charge in APSIN may be entered directly by the arresting agency. It may be updated by repository staff based on fingerprint cards and disposition reports, as well as other research. Increasingly, it may be entered automatically from an arrest, fingerprint or disposing agency's information system through an electronic interface.

Findings

To calculate the overall probability of finding complete, accurate information about a charge, the auditor determined, for each information source, the percentage of information that was (a) recorded, (b) not fictional, (c) complete, and (d) accurate. The auditor's computations are shown on the following page:

What is the probability that the charge is (a) RECORDED?

	Actual (Required)		Missing		(a) RECORDED	
Charges on Fingerprint Cards:	141	100.0%	54	38.3%	87	61.7%
Charges in Disposition Reports:	136	100.0%	18	13.2%	118	86.8%
...Prosecutor (Declines)	18		6	33.3%	12	66.7%
...Court (Judgments/Orders)	118		12	10.2%	106	89.8%
...None (confirmed active)	14		5	35.7%	9	64.3%
Charges in APSIN:	150	100.0%	6	4.0%	144	96.0%

EXECUTIVE SUMMARY

What is the probability that the recorded charge is (b) NOT FICTIONAL?

	Actual (Required)		Fictional		(b) NOT FICTIONAL	
Charges on Fingerprint Cards:	**141**	**100.0%**	**0**	**0.0%**	**141**	**100.0%**
Charges in Disposition Reports:	**136**	**100.0%**	**0**	**0.0%**	**136**	**100.0%**
...Prosecutor (Declines)	18		0	**0.0%**	18	100.0%
...Court (Judgments/Orders)	118		0	**0.0%**	118	100.0%
...None (confirmed active)	14		0	**0.0%**	14	100.0%
Charges in APSIN:	**150**	**100.0%**	**2**	**1.3%**	**148**	**98.7%**

If the charge is recorded, what is the probability it is (c) COMPLETE (not missing data)?

	Actual Data		Missing Data		(c) COMPLETE	
Data on Fingerprint Cards:	**516**	**100.0%**	**11**	**2.1%**	**505**	**97.9%**
Data in Disposition Reports:	**1191**	**100.0%**	**13**	**1.1%**	**1178**	**98.9%**
...Prosecutor (Declines)	84		2	**2.4%**	82	97.6%
...Court (Judgments/Orders)	1107		11	**1.0%**	1096	99.0%
...None (confirmed active)	0		0		0	n/a
Data in APSIN:	**1929**	**100.0%**	**503**	**26.1%**	**1426**	**73.9%**

If the data is complete, what is the probability that it is (d) ACCURATE?

	Actual Data		Data Errors		(d) ACCURATE	
Data on Fingerprint Cards:	**505**	**100.0%**	**45**	**8.9%**	**460**	**91.1%**
Data in Disposition Reports:	**1178**	**100.0%**	**24**	**2.0%**	**1154**	**98.0%**
...Prosecutor (Declines)	82		1	**1.2%**	81	98.8%
...Court (Judgments/Orders)	1096		23	**2.1%**	1073	97.9%
...None (confirmed active)	0		0		0	n/a
Data in APSIN:	**1426**	**100.0%**	**19**	**1.3%**	**1407**	**98.7%**

*A "fictional" charge is one that should not be reported to the repository or entered into APSIN; fictional charges are often caused by duplicate reporting or entry of charges, or reporting noncriminal violations as criminal charges.

Completeness scores (missing data) in APSIN include information that was reported to the repository but was incomplete and reports that were submitted to the repository but not entered in a timely manner.

Conclusion: The overall probability of finding complete, accurate information is calculated as follows: (a) % recorded X (b) % not fictional X (c) % data complete X (d) % data accurate

Charges on Fingerprint Cards:	(a)	62%	X (b)	100%	X (c)	98%	X (d)	91%		**55%**
Charges in Disposition Reports:	(a)	87%	X (b)	100%	X (c)	99%	X (d)	98%		**84%**
...Prosecutor (Declines)	(a)	67%	X (b)	100%	X (c)	98%	X (d)	99%		**64%**
...Court (Judgments/Orders)	(a)	90%	X (b)	100%	X (c)	99%	X (d)	98%		**87%**
Charges in APSIN:	(a)	96%	X (b)	99%	X (c)	74%	X (d)	99%		**69%**

The remainder of the report provides details about the audit methodology and procedures, identifies the specific charges that were found to be missing and fictional, and shows completeness and accuracy rates for specific data elements for each information source.

AUDIT PURPOSE AND SCOPE

Purpose: The purpose of this audit is to evaluate the quality of criminal history data submitted to the state central repository and maintained in APSIN.

Mandate: AS 12.62.120 requires criminal justice agencies to report certain events to the Department of Public Safety (DPS) at the time, in the manner, and in the form specified by the department. These reporting requirements are defined in 13 AAC 68, Article 2. AS 12.62.150 requires DPS to conduct periodic audits to ensure compliance with 12.62.

Objectives: The primary objective is to assess, with statistically supported accuracy, the completeness, timeliness and accuracy of data submitted to the repository and entered into APSIN. A second objective is to determine what, if any, systematic errors are contributing to incomplete, late, or inaccurate records. A third objective is to identify the effects of recent projects, automation, or other programmatic or policy changes that have improved record quality.

Scope: The audit sample is drawn from criminal cases referred for prosecution during January of 2013. This allows the auditor to measure data quality for "reportable" events, to compare changes from year to year, and complete the audit within available resources.

"Reportable Events" - An arrest charge must be reported to the repository even if it is declined for prosecution. A criminal charge that does not involve an arrest must be reported only if it is prosecuted. Noncriminal infractions and juvenile charges referred to a prosecutor do not have to be reported. By reviewing all cases referred for prosecution, the audit identifies not only the percentage of *reportable events* submitted to the repository, but also identifies any *non-reportable* events that were incorrectly submitted to the repository, or entered into APSIN. The events required to be reported to the repository, the contents of each report, and the timelines for reporting the information are defined in AS 12.62.120 and 13 AAC 68 Article 2, including:

   Arrest/citation
   Fingerprinting
   Booking (photo)
   Decision not to commence criminal proceedings (for charge resulting from arrest)
   Filing of a charging document
   Court disposition
   Disposition change (court, appellate court, parole board)

In addition to measuring compliance with the reporting of events, the auditor measures the data quality of APSIN records based on events required to be reported. For example, if the audit sample contained 100 charges that were referred for prosecution the auditor would measure how many of those charges were correctly entered into APSIN. The auditor would also measure how many charges were incorrectly entered into APSIN (referred to as "fictional" or "duplicate" charges). For example, if any of the 100 charges involved a juvenile, a noncriminal infraction, or a charge that did not stem from arrest and was not prosecuted, the auditor confirms that those charges were correctly *omitted* from APSIN.

Jurisdiction – The audit consists of separate record samples and findings for the **Third Judicial District**. The audit does not attempt to measure reporting compliance for individual agencies within a judicial district. Instead, it evaluates reporting compliance and APSIN record quality based on the integrated, system wide efforts of all agencies within the judicial district and repository staff.

AUDIT PURPOSE AND SCOPE

Audit Period – DPS has limited the scope of the audit to a one month period to match the level of audit resources available. To enable year-to-year comparison, prosecutor referrals for the same month (January) will be sampled each year. The auditor reviews reports related to these January referrals which are submitted to APSIN by a predetermined cut-off date (September 30). This cutoff date was selected to make audit findings comparable no matter what time of year an audit is completed. For example, if the auditor completes the review of January cases from the First Judicial District in August, then completes the review of January cases for the Second Judicial District in October, the findings are not comparable unless a fixed cutoff date is used.

Limiting the audit to this "snapshot" period means that certain events and records are less likely to be audited, however. For example, serious felony cases are less likely than misdemeanors to have reached final disposition within the 9-month snapshot period. Events such as changing of dispositions on appeal and granting of executive clemency are less likely to occur within the snapshot period. This means that the audit findings are more statistically significant for the initial events (reporting of arrests, fingerprinting, and prosecutor declinations). The audit findings are not necessarily statistically significant for subsequent events, such as court dispositions or disposition changes.

AUDIT PROCEDURES

STEP 1. IDENTIFY POPULATION

Judicial District: Third Judicial District

Population: Criminal cases referred for prosecution/screening

Audit Period: January 2013

STEP 2. CALCULATE SAMPLE SIZE

Population Size: Criminal Case Intake and Dispo (CCID forms) referred to:

Department of Law - Anchorage	547
Department of Law - Dillingham	73
Department of Law - JD3 OSPA	24
Department of Law - Kenai	203
Department of Law - Kodiak	66
Department of Law - Palmer	337
Municipal Prosecutor - Anchorage	748
TOTAL	**1998**

Confidence Level: The desired degree of confidence that the sample selected will be representative of the general population; i.e., that the population has the same error rate as the sample.   **95%**

Degree of Variability: How similar the population records are - this can be based on error rates found in prior audits, or it can be most conservatively estimated at 50% (e.g., there is a 50/50 chance that a record will be error-free or totally erroneous)   **50%**

Precision Factor: Enter the range (plus/minus) between the error rate to be found in this audit sample and that in the population   **10%**

Sample Size: Criminal Case Intake and Dispo (CCID forms)

TOTAL	**89**

STEP 3. IDENTIFY REPORTING REQUIREMENTS/DATA SOURCE

See Reporting Requirements (Page 7 of this report) for the list of agencies providing data for this audit.

AUDIT PROCEDURES

STEP 4. LOCATE/COPY SOURCE DOCUMENTS

Arrest Report	Obtain from arrest agency if needed to verify CCID of FP card data.
Fingerprint Card	Obtain from repository files.
Criminal Case Intake Dispo Form	Obtain from prosecutor.
Filing Document	Obtain from court (Not applicable for this audit).
Court Disposition	Obtain from repository files (image archive).
Dispo Change	Obtain from repository files (image archive).
Booking Photo	Check digital image archive (mugshot) if on file
APSIN Rap Sheet	Obtain APSIN criminal history for each record.
APSIN Entry Data Log	Obtain APSIN "dat-of-entry" logs for each record.

STEP 5. COLLECT/SCORE DATA

Enter the SAMPLE SIZE (the number of RECORDS audited). RECORD means a single arrest/charging incident referred for prosecution/screening.	**89**
Enter the number of CHARGES (individual criminal offense, also referred to as a "count").	**150**
Enter the number of FICTIONAL CHARGES IN APSIN (a nonexistent charge, e.g., entries caused by a duplicate report of an actual charge or erroneous report showing a noncriminal violation as a criminal charge, etc.).	**2**
Enter the number of MISSING CHARGES IN APSIN (a charge that should have been reported/posted in APSIN as part of an arrest event/charging incident, but was omitted).	**6**
Enter the number of COLLATERAL OFFENSES (violation of a court or parole condition involving an audited RECORD).	
Enter the number of DATA ELEMENTS (discrete field of information) for all CHARGES and COLLATERALS in all RECORDS.	**3636**

REPORTING REQUIREMENTS

EVENT	AGENCY RESPONSIBLE	TIME LIMIT	13 AAC 68.160 WAIVER
Arrest/Citation 13 AAC 68.115	Arrest agencies meet this requirement by entering arrest data directly into APSIN. Because other agencies, including the repository, may subsequently update/change that data, it is not possible to audit the timeliness or data quality of the arresting agency's original report.	1 wk	NO
Fingerprint Card 13 AAC 68.120	Anchorage Courthouse	1 wk	NO
	Anchorage Jail		NO
	Bristol Bay Borough Police Department		NO
	Dillingham Police Department		NO
	Homer Police Department		NO
	Kodiak Police Department		NO
	Mat-Su Pretrial Facility		NO
	Seward Police Department		NO
	Unalaska Police Department		NO
	Valdez Police Department		NO
	Wildwood Pretrial Facility		NO
Decline Prosecution 13 AAC 68.130	District Attorney - Anchorage	1 mo	NO
	Municipal Prosecutor - Anchorage	1 mo	NO
Court Filing 13 AAC 68.135	not applicable - courts do not report charge filing at this time	1 mo	N/A
Court Disposition 13 AAC 68.140	Superior Court - Anchorage	1 mo	N/A
	District Court - Anchorage		N/A
	District Court - Homer		N/A
	District Court - Kenai		N/A
	District Court - Kodiak		N/A
	District Court - Naknek		N/A
	District Court - Palmer		N/A
	District Court - Seward		N/A
	District Court - Valdez		N/A
	Magistrate Court - Dillingham		N/A
	Magistrate Court - Unalaska		N/A
Disposition Change 13 AAC 68.140-145	not applicable - no disposition changes were reported in this audit	1 mo	N/A
Booking Photo 13 AAC 68.150	(see above; same as fingerprint agencies)	1 wk	NO
APSIN (RAP SHEET) AS 12.62.150	DPS - R&I (Records and ID Bureau)	N/A	N/A

MISSING FINGERPRINT RECORDS

Criminal Charge	Fingerprint Missing	Arrest/Cite	Charges Filed	Court Disposition	ID of Arr/Cit Agency	Court / Prosecutor	Comment
Texting while Driving	☑	☑	☑	☑	Anchorage Police Department	Anchorage District Court	No FPC
Drive in Violation of Instruction Permit	☑	☑	☑	☑	Anchor Point AST	Homer District Court	No FPC
Driving Under the Influence	☑	☑	☑	☐	Newhalen VPSO	Naknek District Court	No FPC
Indecent Exposure 2nd Degree	☑	☑	☑	☑	Dillingham AST	Dillingham Magistrate Court	Charge omitted from FPC
Misconduct Involving Weapons 5th Degree	☑	☑	☐	☐	Anchorage Police Department		Charge omitted from FPC
Theft 3rd Degree	☑	☑	☑	☑	Kenai Police Department	Kenai District Court	No FPC
Criminal Mischief 4th Degree	☑	☑	☑	☑	Anchorage Police Department	Anchorage District Court	Charge omitted from FPC
Criminal Mischief 4th Degree	☑	☑	☑	☑	Anchorage Police Department	Anchorage District Court	Charge omitted from FPC
Disorderly Conduct	☑	☑	☐	☐	Anchorage Police Department		No FPC
Making a False Report	☑	☑	☐	☐	University of Alaska - Anchorage		No FPC
Operating Under the Influence	☑	☑	☐	☐	Anchorage Police Department		No FPC
Drive in Violation of License Limitation	☑	☑	☑	☑	Soldotna Police Department	Kenai District Court	Charge omitted from FPC

MISSING FINGERPRINT RECORDS

Criminal Charge	Fingerprint Missing	Arrest/Cite	Charges Filed	Court Disposition	ID of Arr/Cit Agency	Court / Prosecutor	Comment
Assault	✔	✔	☐	☐	Anchorage Police Department		No FPC
Drive without Valid Operator License	✔	✔	✔	✔	Soldotna AST	Kenai District Court	No FPC
Operating Under the Influence	✔	✔	☐	☐	Anchorage Police Department		No FPC
Driving with License Revoked/Susp/Ltd	✔	✔	✔	☐	Anchorage Police Department	Anchorage District Court	No FPC
Trespass	✔	✔	✔	✔	Anchorage Police Department	Anchorage District Court	No FPC
Theft 3rd Degree	✔	✔	✔	✔	Wasilla Police Department	Palmer District Court	No FPC
Drive without Valid Operator License	✔	✔	✔	✔	Palmer AST	Palmer District Court	No FPC
Operate Motor Vehicle without Insurance	✔	✔	✔	✔	Anchorage Police Department	Anchorage District Court	No FPC
Criminal Mischief 3rd Degree	✔	✔	✔	✔	Anchorage Police Department	Anchorage District Court	Charge omitted from FPC
Violate a Protective or Stalking Order	✔	✔	✔	☐	Palmer AST		No FPC
Violate Condition of Release	✔	✔	✔	✔	Palmer Police Department	Palmer District Court	No FPC
Violate Condition of Release	✔	✔	✔	✔	Palmer Police Department	Palmer District Court	No FPC

MISSING FINGERPRINT RECORDS

Criminal Charge	Fingerprint Missing	Arrest/Cite	Charges Filed	Court Disposition	ID of Arr/Cit Agency	Court / Prosecutor	Comment
Driving while License Canc/Susp/Revoked/Lim	☑	☑	☑	☑	Palmer AST	Palmer District Court	No FPC
Assault 4th Degree	☑	☑	☑	☑	Saint Paul Police Department	Seward District Court	No FPC
Leaving Scene of Crash	☑	☑	☐	☐	Anchorage Police Department		No FPC
No Motor Vehicle Liability Insurance	☑	☑	☑	☑	Kenai Police Department	Kenai District Court	No FPC
Driving while License Revoked/Susp/Ltd	☑	☑	☑	☑	Anchorage Police Department	Anchorage District Court	No FPC
Disorderly Conduct	☑	☑	☐	☐	Anchorage Police Department		No FPC
Violation of Condition of Release	☑	☑	☑	☑	Anchorage Police Department	Anchorage District Court	No FPC
Assault	☑	☑	☑	☑	Anchorage Police Department	Anchorage District Court	No FPC
Family Violence	☑	☑	☑	☑	Anchorage Police Department	Anchorage District Court	No FPC
Malicious Destruction of Property	☑	☑	☑	☑	Anchorage Police Department	Anchorage District Court	No FPC
Theft 3rd Degree	☑	☑	☑	☑	Kodiak Police Department	Kodiak District Court	No FPC
Misconduct Involving Weapons 5th Degree	☑	☑	☐	☐	Anchorage Police Department		Charge omitted from FPC

MISSING FINGERPRINT RECORDS

Criminal Charge	Fingerprint Missing	Arrest/Cite	Charges Filed	Court Disposition	ID of Arr/Cit Agency	Court / Prosecutor	Comment
Misconduct Involving Controlled Substance 2nd Degree	✔	✔	✔	☐	Anchorage Police Department		No FPC
Misconduct Involving Controlled Substance 2nd Degree	✔	✔	✔	☐	Anchorage Police Department		No FPC
No Motor Vehicle Liability Insurance	✔	✔	✔	✔	Kenai Police Department	Kenai District Court	No FPC
Misconduct Involving Controlled Substance 6th Degree	✔	✔	✔	✔	Kenai Police Department	Kenai District Court	No FPC
Assault	✔	✔	☐	☐	Anchorage Police Department		No FPC
Assault	✔	✔	☐	☐	Anchorage Police Department		No FPC
Assault 4th Degree	✔	✔	✔	✔	Dutch Harbor AWT/AST	Unalaska Magistrate Court	No FPC
Criminal Mischief 5th Degree	✔	✔	✔	✔	Cooper Landing AST	Seward District Court	Charge omitted from FPC
Theft by Shoplifting	✔	✔	✔	✔	Anchorage Police Department	Anchorage District Court	No FPC
Criminal Trespass 1st Degree	✔	✔	✔	✔	Anchorage District Court	Anchorage District Court	No FPC
Assault 4th Degree	✔	✔	✔	☐	Whittier Police Department		No FPC

MISSING FINGERPRINT RECORDS

Criminal Charge	Fingerprint Missing	Arrest/Cite	Charges Filed	Court Disposition	ID of Arr/Cit Agency	Court / Prosecutor	Comment
Assault 4th Degree	☑	☑	☑	☐	Whittier Police Department		No FPC
Violate Condition of Release	☑	☑	☑	☐	Whittier Police Department		No FPC
Violate Condition of Release	☑	☑	☐	☐	Anchorage Police Department		No FPC
Misconduct Involving Weapons 4th Degree	☑	☑	☑	☐	Palmer AST		Charge omitted from FPC
Malicious Destruction of Property	☑	☑	☑	☑	Anchorage Police Department	Anchorage District Court	Charge omitted from FPC
False Information/Report	☑	☑	☑	☑	Wasilla Police Department	Palmer District Court	No FPC
Assault 4th Degree	☑	☑	☑	☑	Saint Paul Police Department	Seward District Court	No FPC

This page intentionally left blank

MISSING REPORTS OF PROSECUTOR DECLINATIONS

Criminal Charge	Decline Missing	Prosecution Agency	Comment
Vehicle Tampering	✔	Anchorage Municipal Prosecutor	Prosecutor did not send declination to the repository
Assault 4th Degree	✔	Anchorage District Attorney	Prosecutor did not send declination to the repository
Misconduct Involving Weapons 5th Degree	✔	Anchorage District Attorney	Prosecutor did not send declination to the repository
Hinder Prosecution 1st Degree	✔	Anchorage District Attorney	Prosecutor did not send declination to the repository
Assault	✔	Anchorage Municipal Prosecutor	Prosecutor did not send declination to the repository
Assault	✔	Anchorage Municipal Prosecutor	Prosecutor did not send declination to the repository

MISSING REPORTS OF COURT DISPOSITIONS

Criminal Charge	Court Dispo Missing	Dismissal	Conviction	Court	Comment
Misconduct Involving Controlled Substance 2nd Degree	☑	☑	☐	Palmer District Court	Court did not send the disposition to the repository
Misconduct Involving Controlled Substance 4th Degree	☑	☑	☐	Palmer District Court	Court did not send the disposition to the repository
Trespass	☑	☑	☐	Anchorage District Court	Court did not send the disposition to the repository
Assault DV	☑	☑	☐	Anchorage District Court	Court did not send the disposition to the repository
Family Violence - DV	☑	☑	☐	Anchorage District Court	Court did not send the disposition to the repository
Operate Motor Vehicle without Insurance	☑	☑	☐	Anchorage District Court	Court did not send the disposition to the repository
Driving while License Revoked/Susp/Ltd	☑	☑	☐	Anchorage District Court	Court did not send the disposition to the repository
Resist/Interfere with Arrest	☑	☐	☑	Seward District Court	Court did not send the disposition to the repository
Criminal Mischief 5th Degree	☑	☐	☑	Seward District Court	Court did not send the disposition to the repository
Misconduct Involving Controlled Substance 4th Degree	☑	☑	☐	Anchorage District Court	Court did not send the disposition to the repository
Violate Condition of Release	☑	☑	☐	Anchorage District Court	Court did not send the disposition to the repository

MISSING REPORTS OF COURT DISPOSITIONS

Criminal Charge	Court Dispo Missing	Dismissal	Conviction	Court	Comment
Misconduct with Weapons 3rd Degree	☑	☑	☐	Anchorage Superior Court	Court did not send the disposition to the repository

MISSING CHARGES IN APSIN

Criminal Charge	Missing FP	Missing Decline	Missing Court Disposition	Missing Court	ActiveCase	Explanation of Why Charge is Missing in APSIN
Driving Under the Influence	☑	☐	☐		☑	Arrest/citation but no FPC;
Violate a Protective or Stalking Order	☑	☐	☐		☑	Arrest/citation but no FPC;
Assault 4th Degree	☑	☐	☐		☑	No arrest/citation; active case;
Assault 4th Degree	☑	☐	☐		☑	No arrest/citation; active case;
Violate Condition of Release	☑	☐	☐		☑	No arrest/citation; active case;
Violate Condition of Release	☑	☐	☑		☐	Arrest/citation but no FPC; Court disposition not sent to repository

FICTIONAL CHARGES IN APSIN

Criminal Charge	Fictional Arrest	Fictional Decline	Fictional Court Disposition	Explanation of Why Fictional Charge is in APSIN
Theft 3rd Degree	☑	☐	☐	Data entry error;
Misconduct Involving Controlled Substance 4th Degree	☑	☐	☐	Data entry error;

DATA QUALITY

#= FOR REPORTS RECEIVED/ENTERED: number of times a data element was required

CMP= FOR REPORTS RECEIVED/ENTERED: percentage of times a required data element was reported/entered

ACC= FOR DATA PROVIDED, percentage that was accurate (missing data is not scored for accuracy)

	ARREST REPORT			FP CARD			PROSECUTOR DECLINE			COURT FILING			COURT DISPO			DISPO CHANGE			APSIN		
	#	CMP	ACC	#	CMP	ACC	#	CMP	ACC	#	CMP	ACC	#	CMP	ACC	#	CMP	ACC	#	CMP	ACC
Total Data Elements	0			516	98%	89%	84	98%	96%	0			1107	99%	97%	0			1929	74%	73%
ATN#	0			87	87%	83%	12	100%	100%	0			106	99%	99%	0			144	93%	93%
Arrest Statute	0			87	100%	82%	12	92%	83%										144	93%	92%
Arrest Literal	0			87	100%	95%	12	100%	100%										144	93%	93%
ID of Arr/Cit Agency	0			87	100%	93%	12	100%	100%										144	93%	92%
Date Arr/Cit	0			87	100%	83%													144	93%	91%
Date of Offense																			140	87%	84%
ID of FP agency				81	100%	100%															
Decline Prosecution							12	100%	100%										12	100%	100%
ID of decline agency							12	92%	92%										12	100%	100%
Date declined							12	100%	100%										12	100%	100%
APSIN ID number										0			106	99%	92%						
Ct Case #										0			106	100%	100%	0			109	52%	51%
Disposition Statute													106	98%	91%				57	46%	42%
Disposition Literal													106	98%	98%				57	46%	44%
ID of Ct Dispo Agcy													106	100%	93%				106	45%	42%
Date of Ct Dispo													106	99%	99%				106	45%	45%
Ct Dispo													106	97%	97%				106	45%	45%
POS ID Flag																			99	98%	98%
Fine Imposed													31	100%	100%	0			31	39%	39%
Fne Suspended													21	100%	100%	0			21	33%	33%
Jail Imposed													52	100%	100%	0			52	44%	44%
Jail Suspended													46	100%	100%	0			46	48%	48%
Commitment													0			0			0		
Probation													48	100%	100%	0			48	50%	50%
Defer Prosecution													0			0			0		
SIS (set aside)													0			0			0		
Alcohol Screen													17	100%	100%	0			17	35%	35%
Restitution													15	100%	100%	0			15	53%	53%
Lic Susp/Rev													15	100%	100%	0			15	27%	27%
Other (CWS)													10	100%	100%	0			10	50%	50%
Felony Flag - Dispo																			3	100%	100%
SOR Flag - Dispo													0			0			0		
DV Flag - Dispo													4	75%	75%	0			4	75%	75%

SCORING GUIDELINES

SCORING GUIDELINES	FP CARD #	PROSECUTOR DECLINE #	COURT FILING #	COURT DISPO #	DISPO CHANGE #	APSIN #	Only information submitted to the repository was scored. Data is scored as (1) accurate or (0) inaccurate; Missing data is not scored (N) In APSIN, for judgments that were received but not entered timely, score as 0/0.
Required Data Element							
Arrest Tracking Number (ATN)	x	x		x	x	x	if missing, completeness = NO (white box) and accuracy = NOT SCORED (gray box); if provided, completeness = YES (checked box) and, if different than ATN on CCID accuracy = NO (white box)
Charge Number							not scored at this time
Offense Code - Arr/Cit	x	x		x	x	x	if missing, completeness = NO (white box) and accuracy = NOT SCORED (gray box); if provided, completeness = YES (checked box) and, if different than CCID, accuracy = NO (white box); (unless obvious problem w/CCID then check arrest report/citation.
ID of Arr/Cit Agency	x					x	if missing, completeness = NO (white box) and accuracy = NOT SCORED (gray box); if provided, completeness = YES (checked box) and, if different than CCID, accuracy = NO (white box); (unless obvious problem w/CCID then check arrest report/citation.
Date Arr/Cit	x					x	if missing, completeness = NO (white box) and accuracy = NOT SCORED (gray box); if provided, completeness = YES (checked box) and if different than CCID accuracy = NO (white box); (unless obvious problem w/CCID then check arrest report/citation. APSIN requires a 'date of arrest' entry; if date of arrest is unknown the date of violation is entered and is scored as complete = YES (checked box); accurate = NO (white box) (systematic error)
Date of Offense							not scored; regulations require date of offense on FPC, if known
ID of FP agency	x						if FP agency = arrest agency score under 'id arrest agency'; if FP agency and arrest agency are different, id FP agency must be scored; ori agency is FP agency. If missing, completeness = NO (white box) and accuracy = NOT SCORED (gray box); if provided, completeness = YES (checked box) and, if different that ori score 0 on accuracy
FP Signature	x						if missing on paper FPC completeness = NO (white box); accurate = NOT SCORED (gray box)
Decline Prosecution		x				x	if missing, completeness = NO (white box) and accuracy = NOT SCORED (gray box); if provided, completeness = YES (checked box) and if different than CCID accuracy = NO (white box)
ID of decline agency		x				x	if missing, completeness = NO (white box) and accuracy = NOT SCORED (gray box); if provided, completeness = YES (checked box) and if different than CCID accuracy = NO (white box)
Date declined		x				x	if missing, completeness = NO (white box) and accuracy = NOT SCORED (gray box); if provided, completeness = YES (checked box) and if different than CCID accuracy = NO (white box)
Off Code - Filing							not scored at this time
ID of Ct - Chg Filed							not scored at this time
Date Chg Filed							not scored at this time
Court Case #				x		x	if missing, completeness = NO (white box) and accuracy = NOT SCORED (gray box); if provided, completeness = YES (checked box) and if different than court information accuracy = NO (white box)
Date CtOrd FP	x						scored if court ordered fingerprints
ID of CtOrd FP	x						scored if court ordered fingerprints
Court APSIN Id				x			scored on judgment only if provided by prosecutor on charging document.

SCORING GUIDELINES

SCORING GUIDELINES	FP CARD #	PROSECUTOR DECLINE #	COURT FILING #	COURT DISPO #	DISPO CHANGE #	APSIN #	Only information submitted to the repository was scored. Data is scored as (1) accurate or (0) inaccurate; Missing data is not scored (N) In APSIN, for judgments that were received but not entered timely, score as 0/0.
Required Data Element							
Off Code - Dispo				x		x	For this data field, two data elements are scored: the literal description of the offense and the statute citation for that offense are both required. If one element is reported (on judgment or entered in APSIN) score "complete" as 1; if second element is missing or inaccurate, score "accuracy" as 0. If both elements are missing score completeness and accuracy as N/N.
ID of Ct Dispo Agcy				x		x	from court: if missing, completeness = NO (white box) and accuracy = NOT SCORED (gray box); if provided, completeness = YES (checked box) and if different than court information accuracy = NO (white box)
Date of Ct Dispo				x		x	from court: if missing, completeness = NO (white box) and accuracy = NOT SCORED (gray box); if provided, completeness = YES (checked box) and if different than court information accuracy = NO (white box)
Ct Dispo				x		x	from court: if missing, completeness = NO (white box) and accuracy = NOT SCORED (gray box); if provided, completeness = YES (checked box) and if different than court information accuracy = NO (white box)
POS ID (FP) Flag						x	score all charges in APSIN; systematic entry; if FP on file flag = yes; if no FP on file flag = NOT SCORED (gray box)o;
Fine				x		x	score only if on court documents; if missing in APSIN completeness = NO (white box) and accuracy = NOT SCORED (gray box); if provided, completeness = YES (checked box) and if different than court, accuracy = NO (white box)
Jail				x		x	score only if on court documents; if missing in APSIN completeness = NO (white box) and accuracy = NOT SCORED (gray box); if provided, completeness = YES (checked box) and if different than court, accuracy = NO (white box)
Probation				x		x	score only if on court documents; if missing in APSIN completeness = NO (white box) and accuracy = NOT SCORED (gray box); if provided, completeness = YES (checked box) and if different than court, accuracy = NO (white box)
SIS (set aside)				x		x	score only if on court documents; if missing in APIs completeness = NO (white box) and accuracy = NOT SCORED (gray box); if provided, completeness = YES (checked box) and if different than court, accuracy = NO (white box)
Alcohol Screening				x		x	score only if on court documents; if missing in APSIN completeness = NO (white box) and accuracy = NOT SCORED (gray box); if provided, completeness = YES (checked box) and if different than court, accuracy = NO (white box)
Restitution				x		x	score only if on court documents; if missing in APSIN completeness = NO (white box) and accuracy = NOT SCORED (gray box); if provided, completeness = YES (checked box) and if different than court, accuracy = NO (white box)
License Susp/Rev				x		x	score only if on court documents; if missing in APSIN completeness = NO (white box) and accuracy = NOT SCORED (gray box); if provided, completeness = YES (checked box) and if different than court, accuracy = NO (white box)
CWS				x		x	score only if on court documents; if missing in APSIN completeness = NO (white box) and accuracy = NOT SCORED (gray box); if provided, completeness = YES (checked box) and if different than court, accuracy = NO (white box)
Felony/Misd Status				x		x	for disposed charges in APSIN; systematic entry; if blank or not set = NO (white box) for completeness and accuracy = NOT SCORED (gray box)OT SCORED (gray box); Level of severity is based on the UOCT. If the felony indicatory = Y in APSIN and UOCT has felony determination accuracy = YES (checked box).

SCORING GUIDELINES

SCORING GUIDELINES	FP CARD #	PROSECUTOR DECLINE #	COURT FILING #	COURT DISPO #	DISPO CHANGE #	APSIN #	Only information submitted to the repository was scored. Data is scored as (1) accurate or (0) inaccurate; Missing data is not scored (N) In APSIN, for judgments that were received but not entered timely, score as 0/0.
Required Data Element							
Sex Ofndr Regis Flag				x		x	score only charges specified SOR by court; If missing in APSIN, completeness = NO (white box) and accuracy = NOT SCORED (gray box); if provided, completeness = YES (checked box) and if different than judgment, accuracy = NO (white box)
DV Flag - Dispo				x		x	score only charges specified DV by court; If missing in APSIN, completeness = NO (white box) and accuracy = NOT SCORED (gray box); if provided, completeness = YES (checked box) and if different than judgment, accuracy = NO (white box)
Disposition Change					x	x	from court: if missing, completeness = NO (white box) and accuracy = YES (checked box); if provided, completeness = YES (checked box) and if different than court information accuracy = NO (white box)
ID of Dispo Chg Agcy					x	x	from court: if missing, completeness = NO (white box) and accuracy = YES (checked box); if provided, completeness = YES (checked box) and if different than court information accuracy = NO (white box)
Date of Dispo Change					x	x	from court: if missing, completeness = NO (white box) and accuracy = YES (checked box); if provided, completeness = YES (checked box) and if different than court information accuracy = NO (white box)
App.Case #					x	x	from court: if missing, completeness = NO (white box) and accuracy = YES (checked box); if provided, completeness = YES (checked box) and if different than court information accuracy = NO (white box)
Collateral Arrest Dt							
Collateral Offense Code							
Collateral Ct Case #							